

Quantum annealing and non-equilibrium dynamics of Floquet Chern insulators

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Inducing topological transitions by a time-periodic perturbation offers a route to controlling the properties of materials. Here we show that the adiabatic preparation of a non-trivial state involves a selective population of edge-states, due to exponentially-small gaps preventing adiabaticity. We illustrate this by studying graphene-like ribbons with hopping's phases of slowly increasing amplitude, as, e.g., for a circularly polarized laser slowly turned-on. The induced currents have large periodic oscillations, but flow solely at the edges upon time-averaging, and can be controlled by focusing the laser on either edge. The bulk undergoes a non-equilibrium topological transition, as signaled by a local Hall conductivity, the Chern marker introduced by Bianco & Resta in equilibrium. The breakdown of this adiabatic picture in presence of intra-band resonances is discussed.

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Introduction. Recent experiments [1] have mapped the phase diagram of the Haldane model [2], a prototypical Chern insulator, by driving ultra-cold fermionic atoms in an optical honeycomb lattice periodically modulated in time. Since the early suggestion by Oka & Aoki [3] for a photovoltaic Hall effect in graphene, theoretical research aimed at studying topological transitions induced by an external periodic perturbation — the so-called *Floquet topological insulators* [4] — has been intense [5–19]. Preparing a topologically non-trivial Floquet insulator, out of a standard band insulator, requires passing through a phase transition point, where the bulk energy gap momentarily closes and edge-states start crossing it. It is usually assumed that this can be done by keeping the system arbitrarily close to its Floquet “ground state” (GS), a concept which we will clarify later on, provided the strength of the periodic perturbation is ramped-up in an adiabatic way [7, 20, 21] — realizing a generalized form of quantum annealing (QA) [22–25]. In this paper we study the QA dynamics of the Haldane model across its topological transition, and that of periodically driven graphene-like ribbons, e.g., irradiated by a circularly polarized laser. We find that the topological transition comes with an ingredient that makes it different from the Kibble-Zurek (KZ) paradigm [26, 27] describing the crossing of ordinary critical points [25, 28, 29]: an exponentially small Landau-Zener (LZ) [30, 31] avoided-crossing gap between edge states, which forbids edge-state electrons from adiabatically following the GS, no matter how slowly the critical point is crossed. The peculiarity of this QA dynamics is reflected in non-equilibrium currents flowing at the edges, which could be controlled, e.g. by a laser focusing on the edges. We also show how the change in the topology of the non-equilibrium state is effectively signaled by the dynamical counterpart of a local Chern marker, introduced by Bianco & Resta [32] as an indicator of an equilibrium non-trivial bulk topology.

Model and idea. Graphene-like systems display re-

markable properties associated to the pseudo-spin-1/2 $\mathcal{A} - \mathcal{B}$ sublattice degree of freedom of the honeycomb lattice, with relativistic Dirac cones sitting at the two corners $\mathbf{K}_{\pm} = (\frac{2\pi}{\sqrt{3}a}, \pm \frac{2\pi}{3a})$ — a being the lattice constant — of the hexagonal Brillouin Zone (BZ), when inversion symmetry (IS) and time-reversal (TRS) are unbroken. A minimal single-orbital tight-binding model, allowing for a time-dependence in the nearest-neighbor (nn) hopping phase and in the on-site energy, is given by the following Hamiltonian (omitting spin indices):

$$\hat{H}(t) = t_1 \sum_{(ij)} e^{-i\Phi_{ij}(t)} \hat{c}_j^\dagger \hat{c}_i + \Delta_{AB}(t) \sum_i (-1)^i \hat{c}_i^\dagger \hat{c}_i, \quad (1)$$

where \hat{c}_i^\dagger creates a particle at site i , (ij) denotes sums over nn and $(-1)^i = +1/-1$ on \mathcal{A}/\mathcal{B} . Δ_{AB} controls IS, opens up a trivial equilibrium gap at the Dirac points and is in principle controllable versus time in optical lattice experiments [1]. The phases $\Phi_{ij}(t)$ — generally breaking TRS — may result from a time-periodic modulation of the optical lattice in the neutral cold atoms experiments [1], or from the Peierls' substitution minimal coupling of the electrons with the (classical) electromagnetic field of a laser $\Phi_{ij}(t) = \frac{e}{\hbar c} \int_i^j d\mathbf{l} \cdot \mathbf{A}(\mathbf{x}, t)$. With the latter realization in mind, we take the field monochromatic and described by a vector potential $\mathbf{A}(\mathbf{x}, t) = A_0(\mathbf{x}, t) [\hat{\mathbf{x}} \sin(\omega t) + \hat{\mathbf{y}} \sin(\omega t - \varphi)]$, where φ describes a general elliptical polarization of the laser and $A_0(\mathbf{x}, t)$ is a smooth function of space and time.

For a circularly polarized ($\varphi = \pm\pi/2$) spatially uniform laser [3, 7, 16, 19], $\Phi_{ij}(t) = \lambda(t) \sin(\omega t + \phi_{ij})$, with $\phi_{ij} = (\pm\frac{\pi}{3}, \mp\frac{\pi}{3}, \pi)$ along the 3 nn directions ($\mathbf{d}_1, \mathbf{d}_2, \mathbf{d}_3$) connecting an \mathcal{A} -site to its nn \mathcal{B} -sites, and $\lambda(t) = \frac{ed}{\hbar c} A_0(t)$, d being the nn distance. If the frequency ω is larger than the unperturbed bandwidth $W = 6|t_1|$, and $\lambda(t)$ and $\Delta_{AB}(t)$ are nearly constant during a period $\tau = 2\pi/\omega$, the resulting Floquet evolution operator $\hat{U}(\tau, 0) = e^{-i\hat{H}^F \tau/\hbar}$ has an effective Floquet Hamiltonian

\hat{H}^F approximately given by a Haldane model \hat{H}_H with flux $\phi_H = \pm \frac{\pi}{2}$, the same on-site difference Δ_{AB} , and hoppings renormalized by Bessel functions: $t_1 \rightarrow t_1 J_0(\lambda)$, $t_2 = -\sqrt{3}[t_1 J_1(\lambda)]^2/(\hbar\omega)$ [7]. As the amplitude $\lambda(t)$ is slowly turned-on — and/or $\Delta_{AB}(t)$ is slowly decreased to 0 — we effectively drive the Haldane model $\hat{H}_H(t)$ across its equilibrium critical point $(\Delta_{AB}/t_2)_c = 3\sqrt{3}$ [2]. In what follows, we study zig-zag strips with open boundary conditions (OBC) and N_x sites in the x -direction, and periodic BC (PBC) along y (see inset in Fig. 2-b'). For each of the N_y y -momenta k , the single-particle Hamiltonian is a $N_x \times N_x$ matrix $\mathbb{H}(k, t)$ whose Schrödinger dynamics is numerically integrated with a 4th-order Runge-Kutta method, the initial state $|\Psi(0)\rangle$ being the Slater determinant GS of $\hat{H}(0)$ at half filling [33].

As a warm-up, let us first consider a QA of the Haldane model. Its phase diagram [2], Δ_{AB}/t_2 vs ϕ_H , is shown in Fig. 1 a, the shaded regions denoting the topologically non-trivial phases with Chern number $\mathcal{C} = \pm 1$. In the insets, we show three zig-zag spectra at $\phi_H = \frac{\pi}{2}$: a trivial insulator with $\Delta_{AB}/t_2 = 4\sqrt{3}$, the critical point $(\Delta_{AB}/t_2)_c = 3\sqrt{3}$, and the IS-symmetric point with $\Delta_{AB} = 0$. Edge states cross the bulk gap in the non-trivial phase; the crossing k -point between the two branches moves from the bulk-projected Dirac point $K_+ = 2\pi/(3a)$ towards $K_f = \pi/a$ as Δ_{AB}/t_2 decreases from $(\Delta_{AB}/t_2)_c$ to $\Delta_{AB} = 0$. Actually, this is an *avoided-crossing* LZ point, with an *exponentially small* gap $\sim e^{-L_x/\xi}$, where L_x is the strip width and ξ the localization length of the edge states, separating the two quasi-degenerate edge states. Consider the evolution denoted by the arrow in Fig. 1-a: Δ_{AB}/t_2 starts from $4\sqrt{3}$ and ends in 0 in a time τ_{QA} . In the initial part of the evolution, the Dirac-point (bulk) gap $\Delta_{K\pm}$ closes at criticality as $\Delta_{K\pm} \sim 1/L$, resulting in a standard KZ [26, 27] non-adiabatic excitation of electrons into the conduction band [25]. In 2d, the critical exponents $\nu = 1$, $z = 1$ should lead to a residual energy $E_{\text{res}}(t) = \langle \Psi(t) | \hat{H}(t) | \Psi(t) \rangle - E_{\text{gs}}(t)$, $E_{\text{gs}}(t)$ being the instantaneous GS energy, scaling as $\varepsilon_{\text{res}} = E_{\text{res}}(t = \tau_{QA})/L^2 \sim \tau_{QA}^{-1}$. The bare data for ε_{res} (stars in Fig. 1-c) depart from this KZ scaling, due to a mechanism of selective edge-state excitation which we now discuss. Consider the right-edge electron sitting immediately to the right of the LZ gap in Fig. 1 b (here we have only $N_y = 72$ k -points for clarity of illustration): as the LZ gap sweeps towards larger k , it will be *unable to follow the ground state* due to the exponentially-small LZ gap, and will remain in the right-edge band, see Fig. 1-b', but *excited*, since the equilibrium lowest-energy state sits in the left-edge band. In essence: *there cannot be any LZ tunneling across the opposite edges of the sample*. Hence, left-edge states remain, one after the other, selectively unoccupied. If we separate the contributions due to bulk and edges, $E_{\text{res}} = \varepsilon_{\text{bulk}}L^2 + \varepsilon_{\text{edge}}L$, we find that $\varepsilon_{\text{bulk}} \sim \tau_{QA}^{-1}$, filled squares in Fig. 1-c, while $\varepsilon_{\text{edge}}$

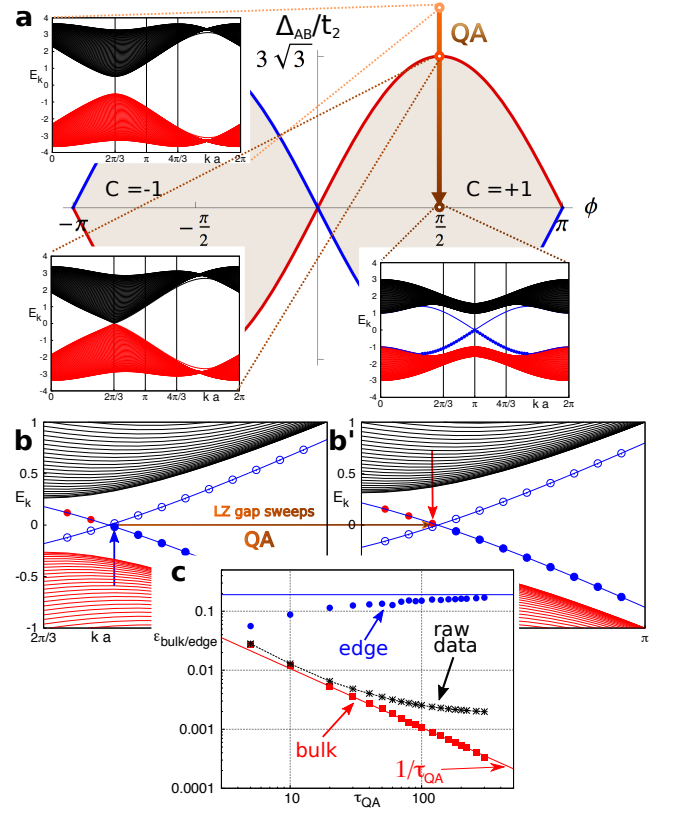


FIG. 1: (Color online) (a): Phase diagram of the Haldane model, with three representative zig-zag strip spectra, along the path of the QA evolution (arrow). (b, b'): The mechanism by which right-edge states get selectively populated as the exponentially-small LZ gap sweeps to larger values of k during the QA evolution. The (equilibrium) edge states shown refer to $\Delta_{AB}/t_2 = 2.5$ (for b) and $\Delta_{AB}/t_2 = 2.4$ (for b'). Filled/empty circles denote occupied/empty edge states. The blue vertical arrow in b points to an occupied right-edge electron that remains occupied (red vertical arrow in b') after the Landau-Zener event. (c): Residual energy (stars), separated into bulk (squares) and edge (circles) contributions, vs the annealing time τ_{QA} . Here data with $L = N_x = N_y = 6n$ from 18 to 102 were used to get $\varepsilon_{\text{bulk/edge}}$ for each τ_{QA} .

(solid circles) slowly increases, approaching the value $\varepsilon_{\text{edge}}^{\text{LZ}} = \int_{K_+}^{K_f} \frac{dk}{2\pi} [E_{k,+} - E_{k,-}]$, where $E_{k,+/-}$ are the right/left final edge bands. Starting the QA evolution from negative Δ_{AB}/t_2 , or having $\phi_H = -\frac{\pi}{2}$, swaps the role of right and left, and of the two Dirac points.

Adiabatic Floquet Results. We now return to our Floquet QA, Eq. (1), with a circularly polarized driving. We performed an “adiabatic” linear turning-on of $\lambda(t) = (t/\tau_{QA})\lambda_f$ for a time $\tau_{QA} = n_{QA}\tau$, followed by an evolution with constant λ_f for $\tau_f = n_f\tau$ (with $\tau = 2\pi/\omega$). We considered both a time-independent Δ_{AB} , and a $\Delta_{AB}(t)$ switched-off to 0 during the annealing of $\lambda(t)$ (physically possible in the cold-atom realization). In principle, $\Delta_{AB} = 0$ in graphene, but the initial zig-zag edge states would be pathological symmetric/antisymmetric

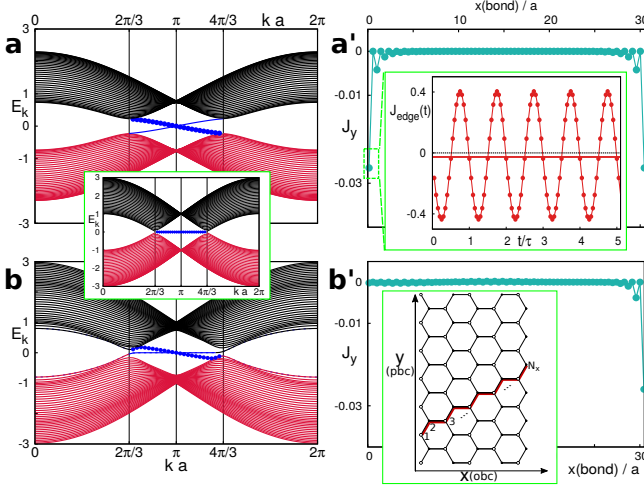


FIG. 2: (Color online) (a): Final Floquet quasi-energy bands for a uniform driving with $\phi = -\pi/2$, $\hbar\omega = 7|t_1| > W$, $\Delta_{AB} = 10^{-3}|t_1|$ (effectively representing graphene), and $\lambda(t)$ linearly ramped up to $\lambda_f = 1$ in $\tau_{QA} = 100\tau$, with $\tau = 2\pi/\omega$. Here the topological transition occurs at $\lambda_{cr} \approx 0$. Valence states (in red) are filled, conduction states (in black) empty. Filled circles denote occupied edge states. Inset: the initial graphene spectrum at $\lambda(0) = 0$. (a'): Time-averaged bond currents calculated with a periodic evolution at constant λ_f for $\tau_f = 100\tau$. The inset shows the large intra-period oscillations. (b, b'): Same as a, a' for an inhomogeneous driving focused on the right edge ($x_c = L_x$) of width $\sigma = 0.4L_x$. In the inset, a sketch of the zig-zag strip.

combinations of left-right wavefunctions [34]. Hence, to describe graphene we include a very small $\Delta_{AB} = 0^\pm$ whose value is not crucial (only the sign matters); the results turn out to be identical to evolutions in which $\Delta_{AB}(t)$ is switched-off to 0. A generalized adiabatic theorem holds for Floquet systems [7, 20, 21, 35, 36]: a Floquet state $|\psi_\alpha(\lambda(0))\rangle$ evolves remaining close to the instantaneous Floquet state $|\psi_\alpha(\lambda(t))\rangle$ for sufficiently slow variations of the driving amplitude $\lambda(t)$, compared to the gaps from neighboring states $\min_{m \in \mathbb{Z}} (|\epsilon_\alpha - \epsilon_\beta + m\hbar\omega|)$. For $\hbar\omega > W$, the standard Floquet BZ $[-\hbar\omega/2, \hbar\omega/2]$ is such that the initial Slater determinant $|\Psi(0)\rangle$ coincides with the *Floquet ground state* $|\Psi_{FGS}(\lambda(0) = 0)\rangle$: all negative quasi-energies, in $[-\hbar\omega/2, 0)$, are occupied, the positive ones are empty. The only relevant gap for the adiabatic Floquet dynamics [20, 21] is that at the Dirac points. A slow increase of λ will reproduce the QA of the Haldane case, as we verified by monitoring the occupations $n_{k,\alpha}$ of the instantaneous single-particle Floquet modes $|\phi_{k,\alpha}\rangle$ [33]: the state $|\Psi(\tau_{QA})\rangle$ after the annealing is “close” to $|\Psi_{FGS}(\lambda(\tau_{QA}))\rangle$, apart from bulk KZ excitations near the Dirac points, and the previously discussed selective excitation of edge states, see Fig. 2-a.

The dynamics of the Hall current is interesting. As customary, in a Laughlin cylinder geometry the total current in the y -direction is given by $\hat{J}_y = \frac{1}{\hbar} \frac{\partial \hat{H}}{\partial \kappa_y} \Big|_{\kappa_y=0}$,

where $\kappa_y = \frac{2\pi}{N_y a} \frac{\Phi_L}{\phi_0}$ is related to the flux Φ_L , piercing the PBC-cylinder along the x -axis, and ϕ_0 is the flux quantum [37]. With PBC along y , we can write $\hat{H}(t) = \sum_k^{\text{BZ}_y} \sum_{ii'} \mathbb{H}_{ii'}(k, t) \hat{c}_{i,k}^\dagger \hat{c}_{i',k}$, where $\mathbb{H}(k, t)$ is the k -resolved strip Hamiltonian and $\hat{c}_{i,k}^\dagger$ creates an electron of momentum k at site i along the zig-zag line sketched in Fig. 2-b'. Hence, bond-resolved y -currents are $J_{i,i+1}(t) = \langle \Psi(t) | \sum_k^{\text{BZ}_y} \mathbb{J}_{i,i+1}(k, t) \hat{c}_{i,k}^\dagger \hat{c}_{i+1,k} | \Psi(t) \rangle$ with $\mathbb{J} = \frac{1}{\hbar} \frac{\partial \mathbb{H}}{\partial \kappa_y} \Big|_{\kappa_y=0}$. The circles in Fig. 2-a' denote the time-average of $J_{i,i+1}(t)$ during the constant- λ_f evolution, $[J_{i,i+1}]_{\text{av}} = \frac{1}{n_f \tau} \int_{\tau_{QA}}^{\tau_{QA} + n_f \tau} dt J_{i,i+1}(t)$. Currents are concentrated at the edges, but with large periodic oscillations, shown in the inset: the stroboscopic averages are not representative of the true time-averages [33]. Notice that the edge currents have left/right symmetry, while one would naively expect currents only on the edge which is selectively occupied by the out-of-equilibrium dynamics (the right edge, for Fig. 2). This behavior originates from specific symmetries — previously noted in equilibrium for the Haldane model at $\phi_H = \pm\pi/2$ [15, 18] — whereby the GS value of \hat{J}_y is *zero everywhere* due to an exact compensation between currents due to edge states and edge-current contributions due to *bulk* states. Since the out-of-equilibrium dynamics brings a lack of current-carrying edge states (at left, in Fig. 2-a), the corresponding bulk contribution is uncompensated and gives rise to a left-flowing current of the same sign and amplitude as that at the right-edge. This left/right symmetry can be removed by a space inhomogeneity of the perturbation, e.g., a laser focused off-center. We find here expedient to retain translational invariance along y , assuming a y -independent Gaussian modulation amplitude $A_0(\mathbf{x}, t) = A_0(t) e^{-(x-x_c)^2/2\sigma^2}$, where x_c is the focus center, and σ the beam width. All the previous results remain valid for a central focusing, $x_c = L_x/2$, provided σ is not too small ($\sigma \gtrsim 0.4L_x$). But the interesting new feature is the ability to control the edge current to flow on either edge of the sample by moving the focus off-center. Fig. 2-b illustrates the final Floquet quasi-energy bands when the laser is focused on the right edge ($x_c = L_x$), with $\sigma = 0.4L_x$. Notice that only the irradiated right-edge states show a k -dispersion: unirradiated left-edge states stay flat and carry no current. Non-equilibrium currents flow only at the irradiated edge, see Fig. 2-b'.

It is interesting to address the issue of an “indicator” of non-trivial topology in a non-equilibrium translationally-non-invariant setting. For translational invariant systems (with PBC), it was shown that the usual Chern number \mathcal{C} is conserved during a unitary evolution [14, 18]: it does not “signal” the topological transition. However, since for $\hbar\omega > W$ the non-trivial final bulk states are adiabatically populated in a controlled way, we would expect to be able to “see” the topological transition by looking only at the “bulk” of the sample. We find that the

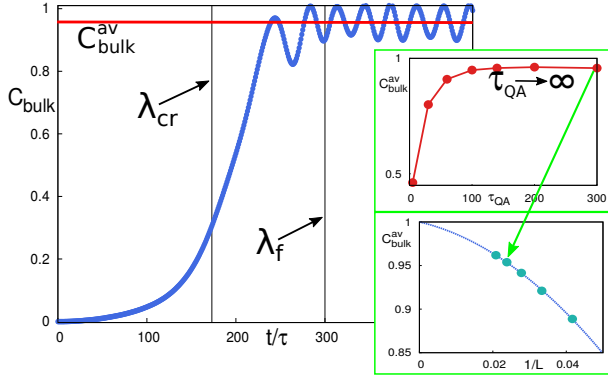


FIG. 3: (Color online) The bulk average $C_{\text{bulk}}(t)$ of the local Chern marker $\mathcal{C}(\mathbf{r}, t)$ for a uniform driving with $\phi = -\pi/2$, $\hbar\omega = 7|t_1| > W$, $\Delta_{AB} = 0.1|t_1|$, and $\lambda(t)$ linearly ramped up to $\lambda_f = 1$ in $\tau_{QA} = 300\tau$, followed by a constant- λ_f evolution for $\tau_f = 220\tau$, with $\tau = 2\pi/\omega$. The topological transition occurs at $\lambda_{cr} \approx 0.57$. Here $L = N_x = N_y = 48$, and we average on a central square of size 12×12 . The horizontal line at ≈ 0.96 is the time-average $C_{\text{bulk}}^{\text{av}}$, calculated from $t = \tau_{QA}$ to $t = \tau_{QA} + \tau_f$. The upper inset shows the saturation of $C_{\text{bulk}}^{\text{av}}(L, \tau_{QA} \rightarrow \infty)$ to a limiting value that, see lower inset (where we fit points with standard power-law corrections $1 + c_1/L + c_2/L^2$), goes to 1 for $L \rightarrow \infty$.

local Chern marker $\mathcal{C}(\mathbf{r})$ introduced in Ref. 32 at equilibrium, essentially a local measure of the Hall conductivity, works also in our non-equilibrium context: it signals if the sample bulk is *locally* a topologically non-trivial insulator, $\mathcal{C}(\mathbf{r}) \sim \pm 1$. $\mathcal{C}(\mathbf{r})$ can be expressed as a physically appealing commutator of position operators [32, 33]:

$$\mathcal{C}(\mathbf{r}, t) = -2\pi i \langle \mathbf{r} | [\hat{x}_{\mathcal{P}(t)}, \hat{y}_{\mathcal{P}(t)}] | \mathbf{r} \rangle. \quad (2)$$

Here $\hat{x}_{\mathcal{P}} = \mathcal{P}\hat{x}\mathcal{P}$ and $\hat{y}_{\mathcal{P}} = \mathcal{P}\hat{y}\mathcal{P}$ are position operators projected on the occupied states, $\mathcal{P}(t)$ being the projector on the time-evolved Slater determinant $|\Psi(t)\rangle$. Fig. 3 illustrates the dynamics of $\mathcal{C}(\mathbf{r}, t)$, averaged over a central “bulk” portion of the sample, $C_{\text{bulk}}(t) = N_{\text{bulk}}^{-1} \sum_{\mathbf{r} \in \text{bulk}} \mathcal{C}(\mathbf{r}, t)$ as the system evolves from a trivial insulator at $\lambda = 0$, towards the non-trivial point with $\lambda_f = 1$. Upon time-averaging the oscillations after τ_{QA} [33], we obtain a quantity $C_{\text{bulk}}^{\text{av}}(L, \tau_{QA}) = \frac{1}{n_f \tau} \int_{\tau_{QA}}^{\tau_{QA} + n_f \tau} dt C_{\text{bulk}}(t)$ approaching the correct integer value 1 as $\tau_{QA} \rightarrow \infty$ and $L \rightarrow \infty$ (see insets in Fig. 3).

When $\hbar\omega$ is *smaller* than the bandwidth W , intra-band resonances between valence states at ϵ_α and conduction ones at $\epsilon_\beta \approx \epsilon_\alpha + \hbar\omega$ change the physics completely, breaking the Floquet adiabatic picture. The whole crux is, in essence, that the starting point at $\lambda(0) = 0$ — the usual Slater determinant $|\Psi(0)\rangle$ — does not coincide with the Floquet GS, $|\Psi(0)\rangle \neq |\Psi_{\text{FGS}}(\lambda(0) = 0)\rangle$, for any choice of the Floquet BZ: upon folding the original $[-W/2, W/2]$ spectrum in e.g., $[-\hbar\omega/2, \hbar\omega/2]$, we see that the lower Floquet quasi-energy band is only partially filled, with empty conduction-band-originated

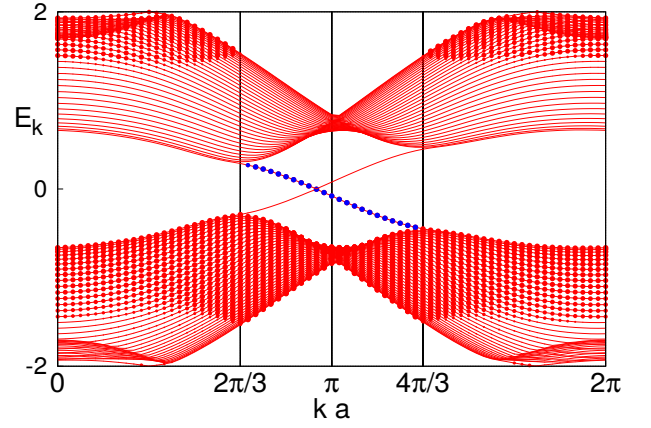


FIG. 4: (Color online) Final Floquet quasi-energies $E_{k,\alpha}$ and occupations $n_{k,\alpha}$ (dot size proportional to $n_{k,\alpha}$) for $\phi = -\pi/2$, $\hbar\omega = 4|t_1| < W$, $\Delta_{AB} = 0.1|t_1|$ (constant), and $\lambda(t)$ linearly ramped up to $\lambda_f = 1$ in $\tau_{QA} = 300\tau$.

states intermixed with filled valence-band ones. These filled-empty pairs with small Floquet gaps [20, 21] $\min_{m \in \mathbb{Z}} (|\epsilon_\alpha - \epsilon_\beta + m\hbar\omega|)$ lead to a proliferation of *bulk* LZ events as $\lambda(t)$ is ramped up, with a complex redistribution of electronic occupations of the states: the final Floquet GS $|\Psi_{\text{FGS}}(\lambda(\tau_{QA}))\rangle$ is *never* reached, even for $\tau_{QA} \rightarrow \infty$. The analysis of these issues will be the subject of a future work [38]. Fig. 4 shows the final Floquet quasi-energy bands, with the corresponding population indicated by a variable-size dot, for $\hbar\omega = 4|t_1| < W$ and $\lambda(t)$ linearly ramped up to $\lambda_f = 1$ in $\tau_{QA} = 300\tau$. The final state reached is a non-equilibrium metal, rather than an insulator. This aspect is important in devising pump-probe photoemission experiments in graphene [16].

Conclusions. We found a non-equilibrium mechanism which selectively populates edge states when performing an adiabatic switching-on of a periodic perturbation towards a topologically non-trivial insulating phase. It is different from the “topological blocking” of Ref. [39], which works with PBCs and when driving systems with symmetry-protected subspaces *from* the topologically non-trivial phase *to* the trivial one. The mechanism we illustrated requires edge states (hence OBC) whose electronic occupation is unable to follow instantaneous equilibrium as they become topologically non-trivial and cross the bulk gap. It is general enough, and is at the root of the deviations from KZ scaling in 1d topological transitions, as seen in [40, 41]. In the present 2d context, it adds flexibility to the control of the edge currents flowing at the boundaries of the sample, including the ability to have currents flowing only at one edge, by appropriate focusing of the ac field. Finally, we have shown that for $\hbar\omega < W$ intra-band resonances ruin the adiabatic picture and the resulting state is a non-equilibrium metal. Our findings should be amenable to experimental tests both with ultra-cold atoms in optical

lattices [1], and with laser irradiated electronic systems.

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